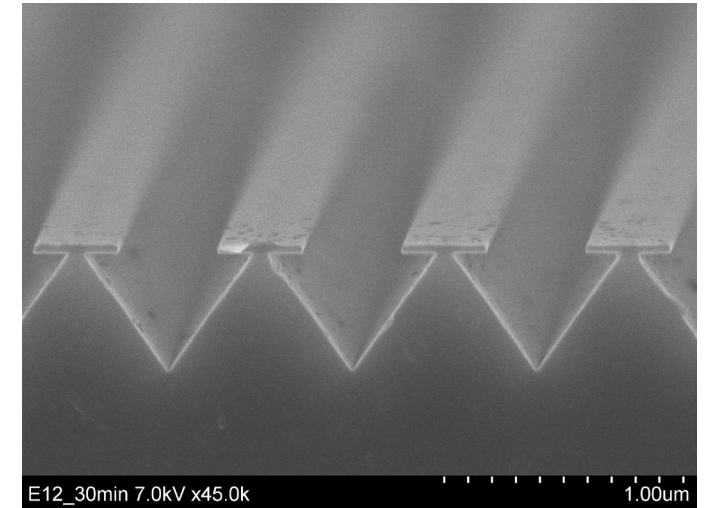
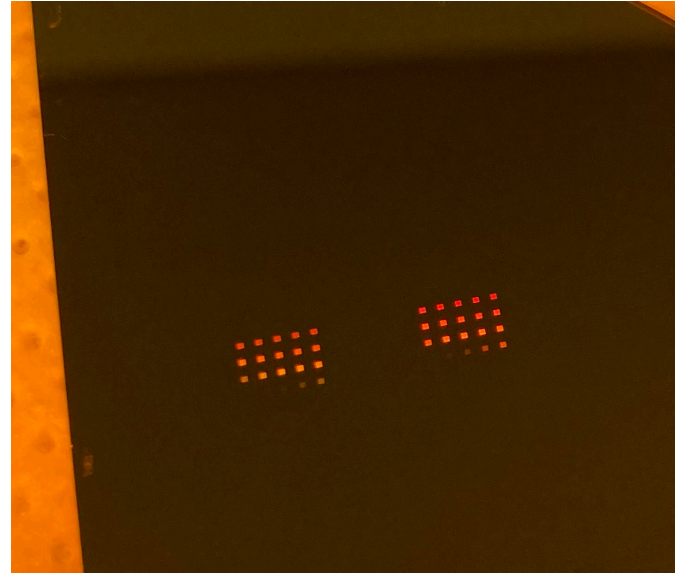
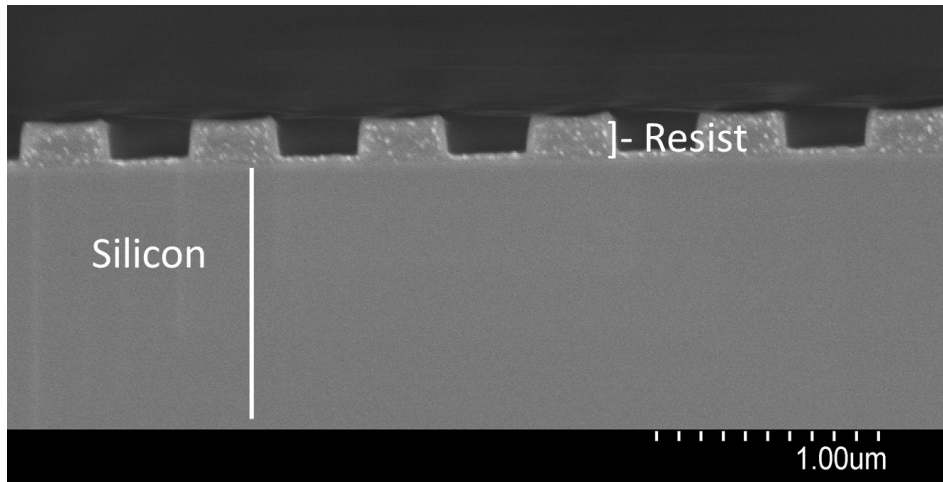


Advances in Diffraction Grating Fabrication for Space-UV Astrophysics



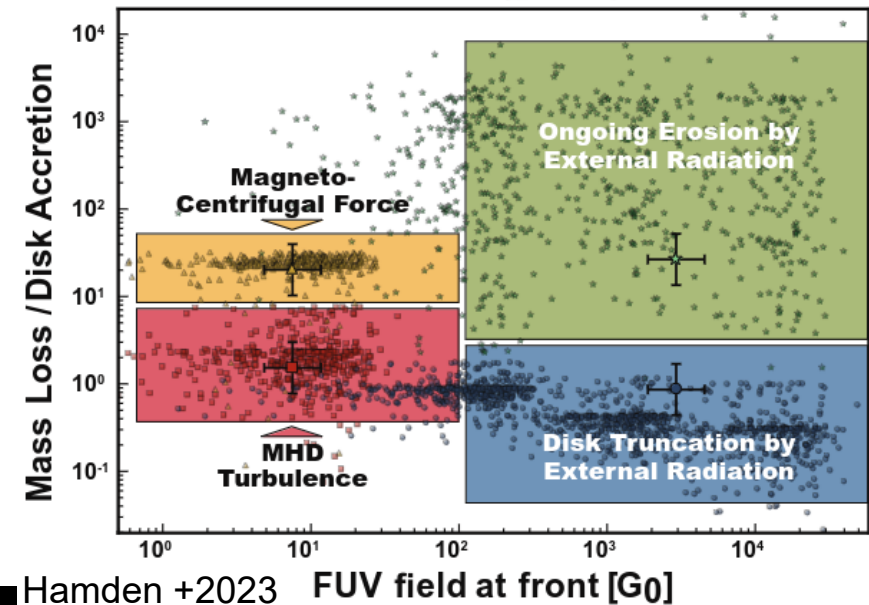
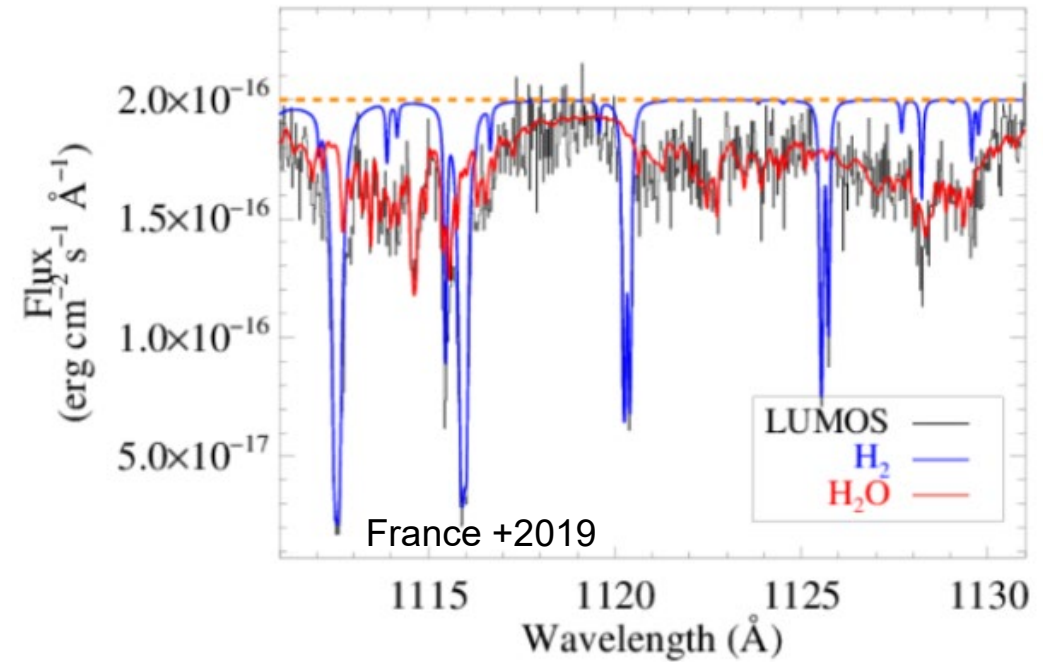
Presenter: Keri Hoadley
keri-hoadley@uiowa.edu



High efficiency, Low scatter Gratings for UV Astronomy

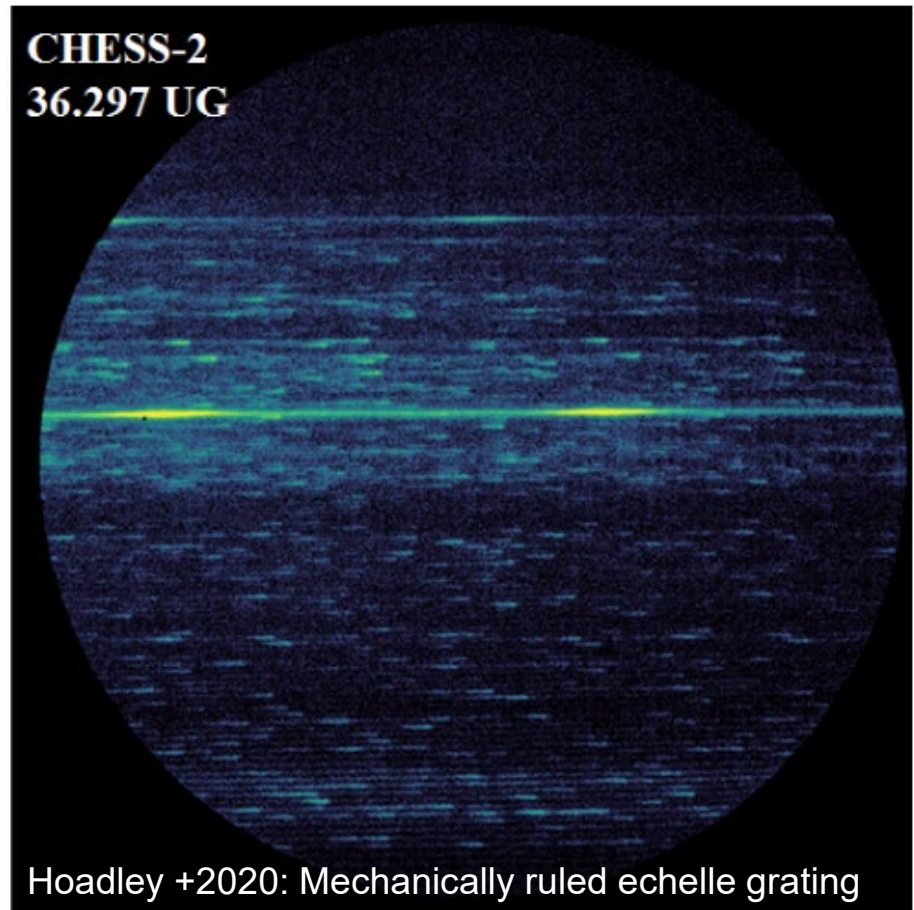
- 2020 Decadal Survey large program priority: large IR/O/UV telescope (Habitable Worlds)
- Key drivers: "Worlds & Suns in Context", "Cosmic Ecosystems"
- Example: Lifetimes & dispersal of planet-forming disks
 - Co-spatial molecular abundances in terrestrial planet forming zones (absorption)
 - How is gas lost during dispersal and how much? (emission)
- Both require high spectral resolution ($R > 30,000$) at space-UV wavelengths to address

LUMOS T Tauri Star Simulation



High efficiency, Low scatter Gratings for UV Astronomy

- What do we need to make high-resolution UV spectroscopy happen, both near-term and for Habitable Worlds?
- -> Large format, high efficiency, low stray light reflection gratings for space-UV
- Current standard industry grating fabrication:
 - Mechanical ruling
 - Ion beam etching
 - Photolithography



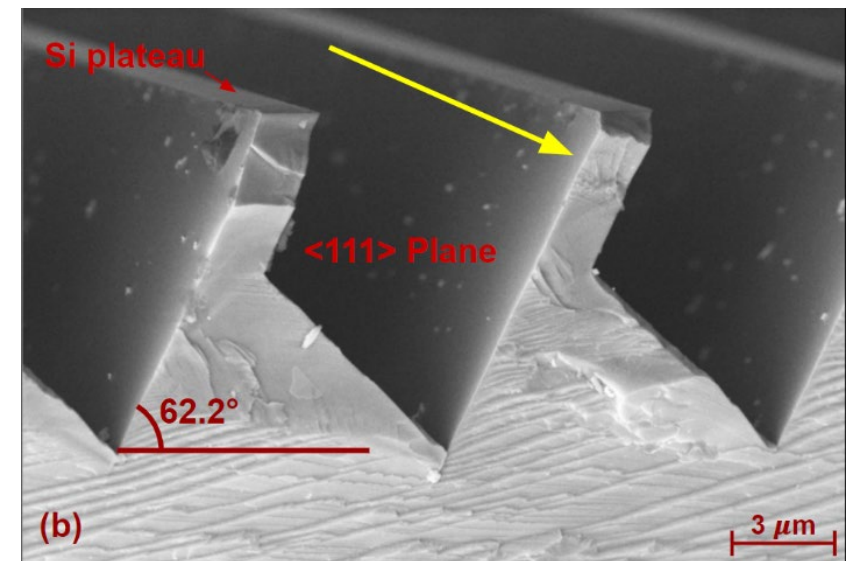
Hoadley +2020: Mechanically ruled echelle grating



Hoadley +2014: SEM of photo-lithographically ruled echelle grating facets for CHESS-1

High efficiency, Low scatter Gratings for UV Astronomy

- What do we need to make high-resolution UV spectroscopy happen, both near-term and for Habitable Worlds?
- -> Large format, high efficiency, low stray light reflection gratings for space-UV
- Emerging technology that shows promise to meet future UV grating needs: electron beam lithography (EBL) on silicon, with KOH etching for blazed gratings



SEM of KOH-etched Si to create blazed facet (Kruczek+ 2022)

INGRINS Immersion Grating Dynamic Range

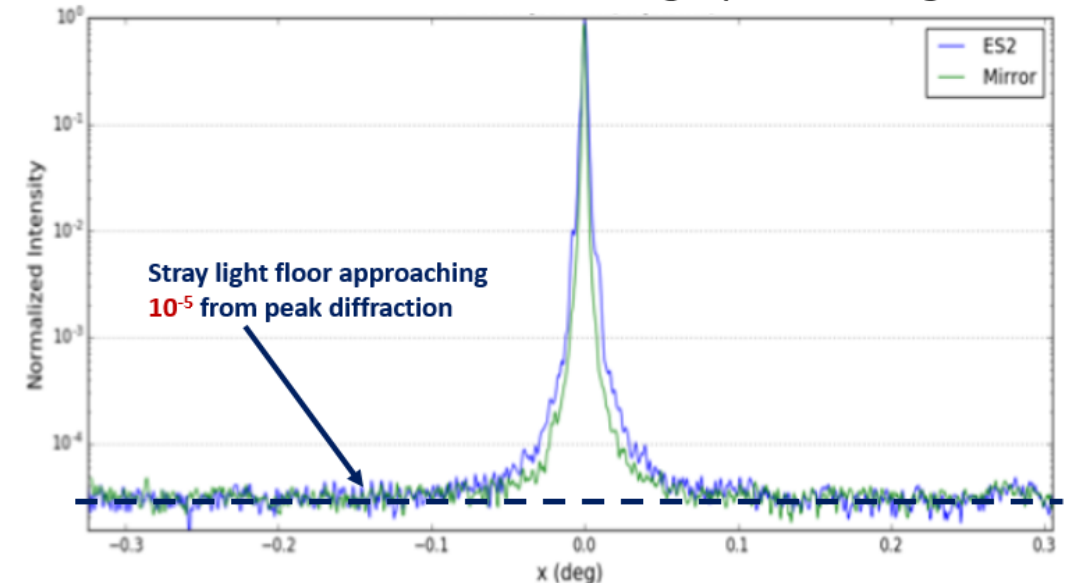
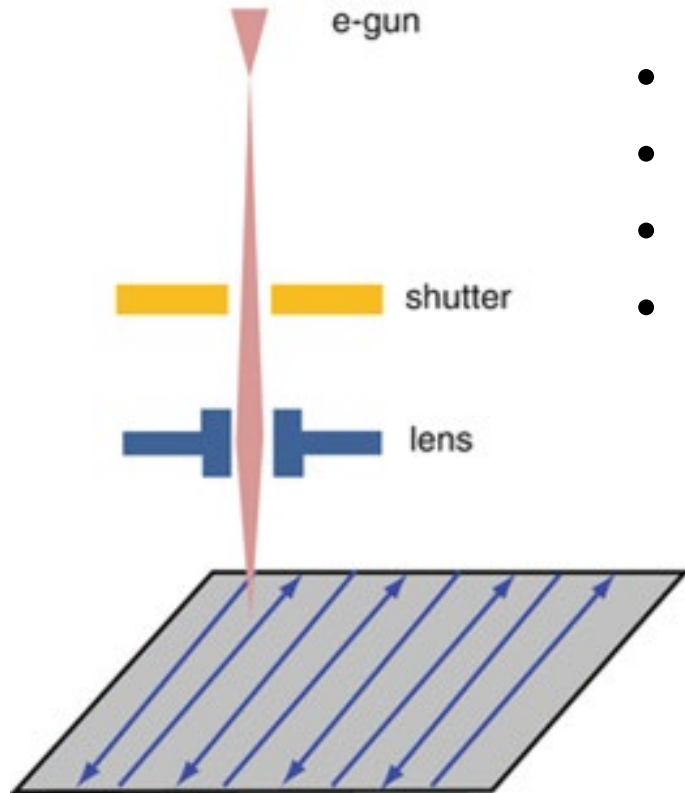


Figure credit: D. Jaffe/INGRINS/U. Texas Austin

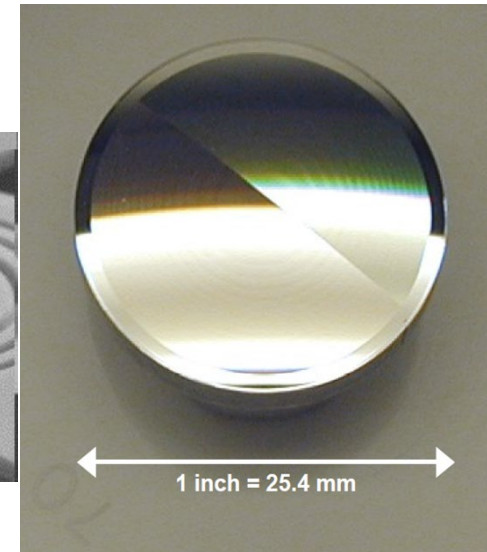
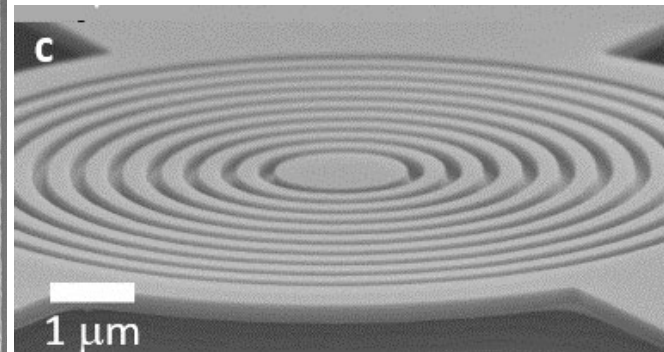
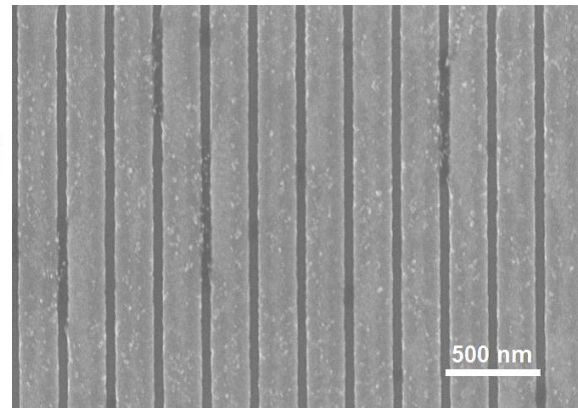
Electron Beam Lithography (EBL)

- EBL: rasters high energy e^- across resist changes solubility of resist in a developer



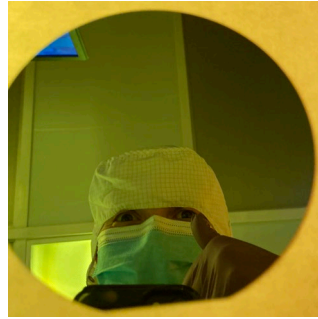
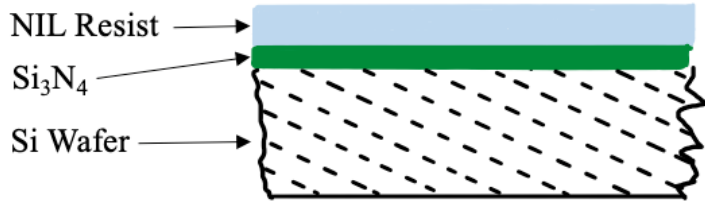
Pala & Karabiyik 2016

- Patterns periods ~ 100 s nm (e.g., Gris  et al. 2021)
- Highly customizable patterns
- Large-format patterning (e.g., Miles et al. 2018)
- Curved substrate patterning (Wilson et al. 2003)

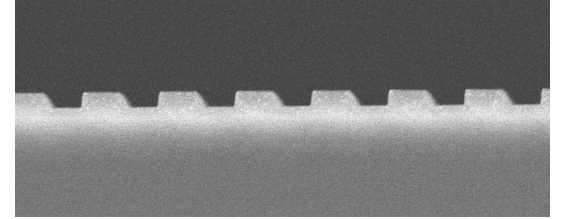
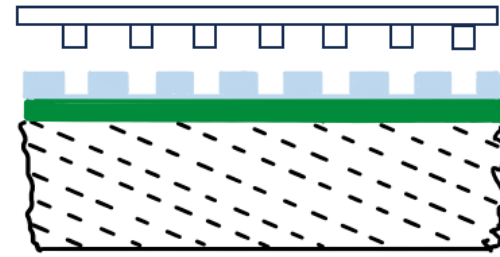


Process Steps

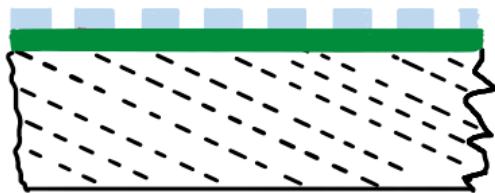
A) Deposit Process Layers



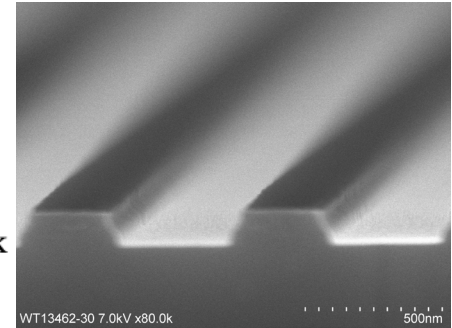
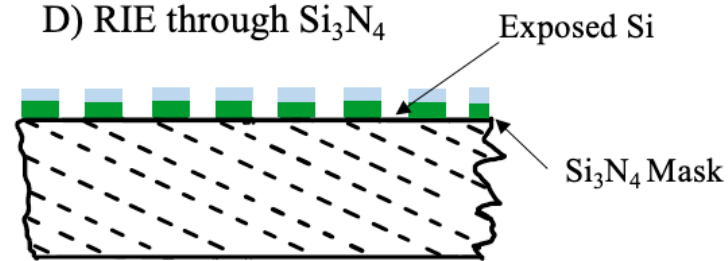
B) Imprint with Pre-Made Mold



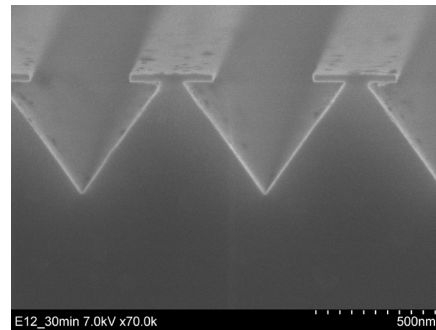
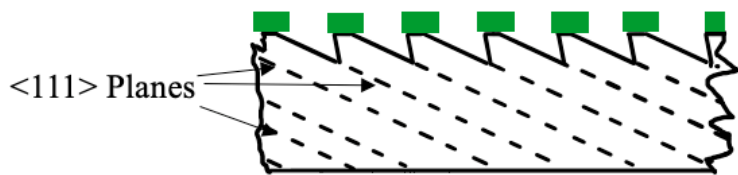
C) RIE resist to expose Si_3N_4



D) RIE through Si_3N_4



E) Wet-Etch Si in KOH



F) HF Soak to remove Si_3N_4

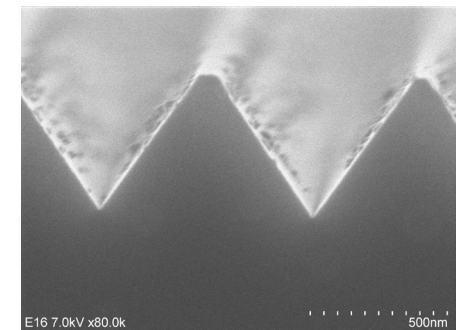
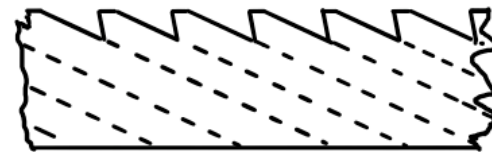
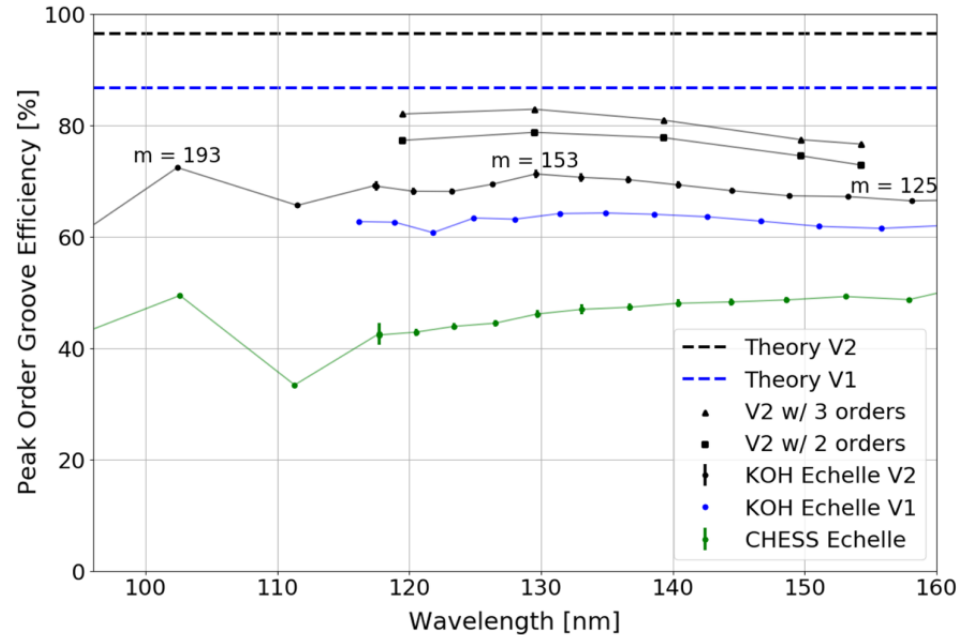


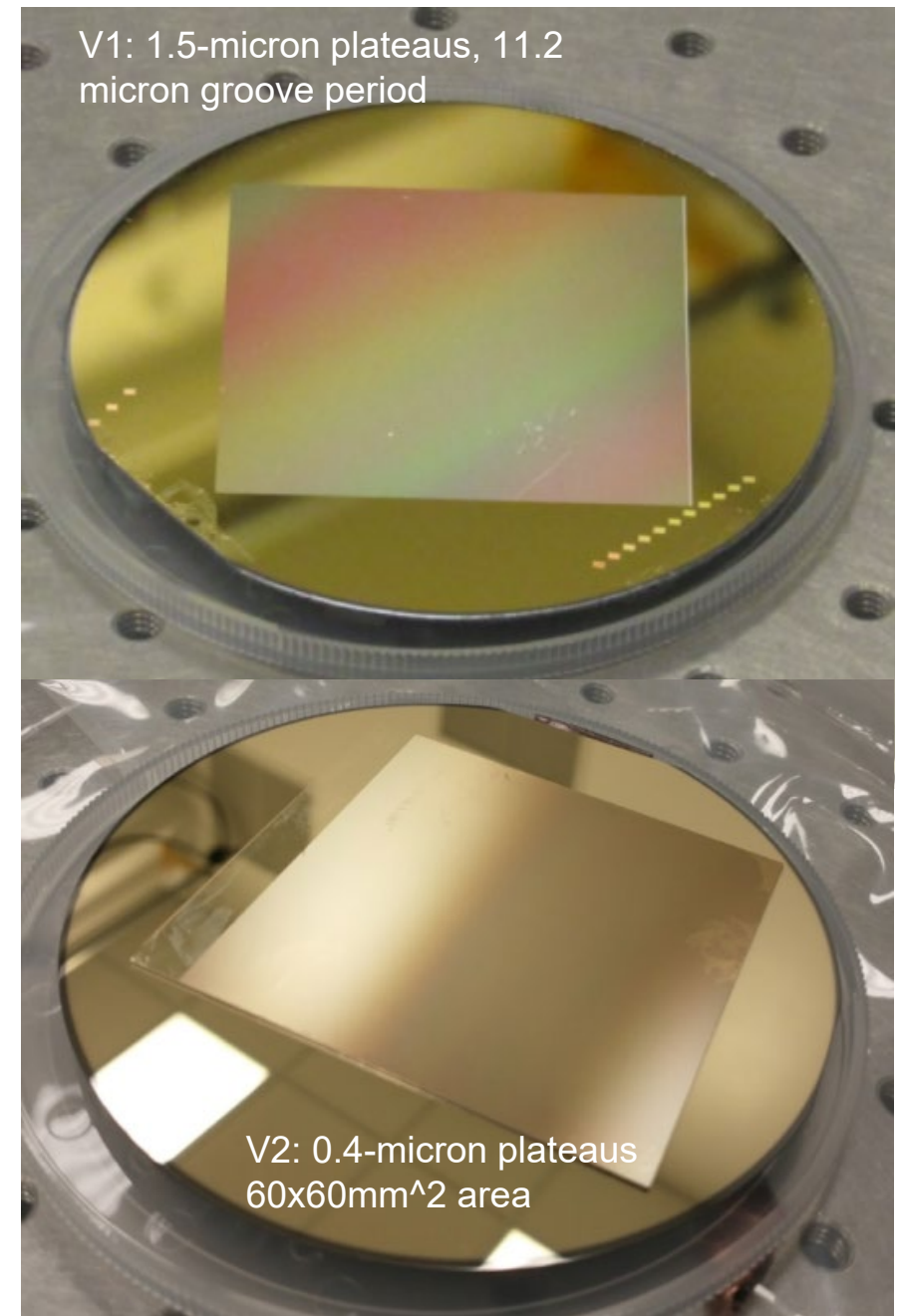
Figure credit: Cecilia Fasano, UI

Performance of EBL/KOH gratings

- Demonstrated groove efficiency from 100 – 160 nm for blazed (echelle) gratings is reaching >60%



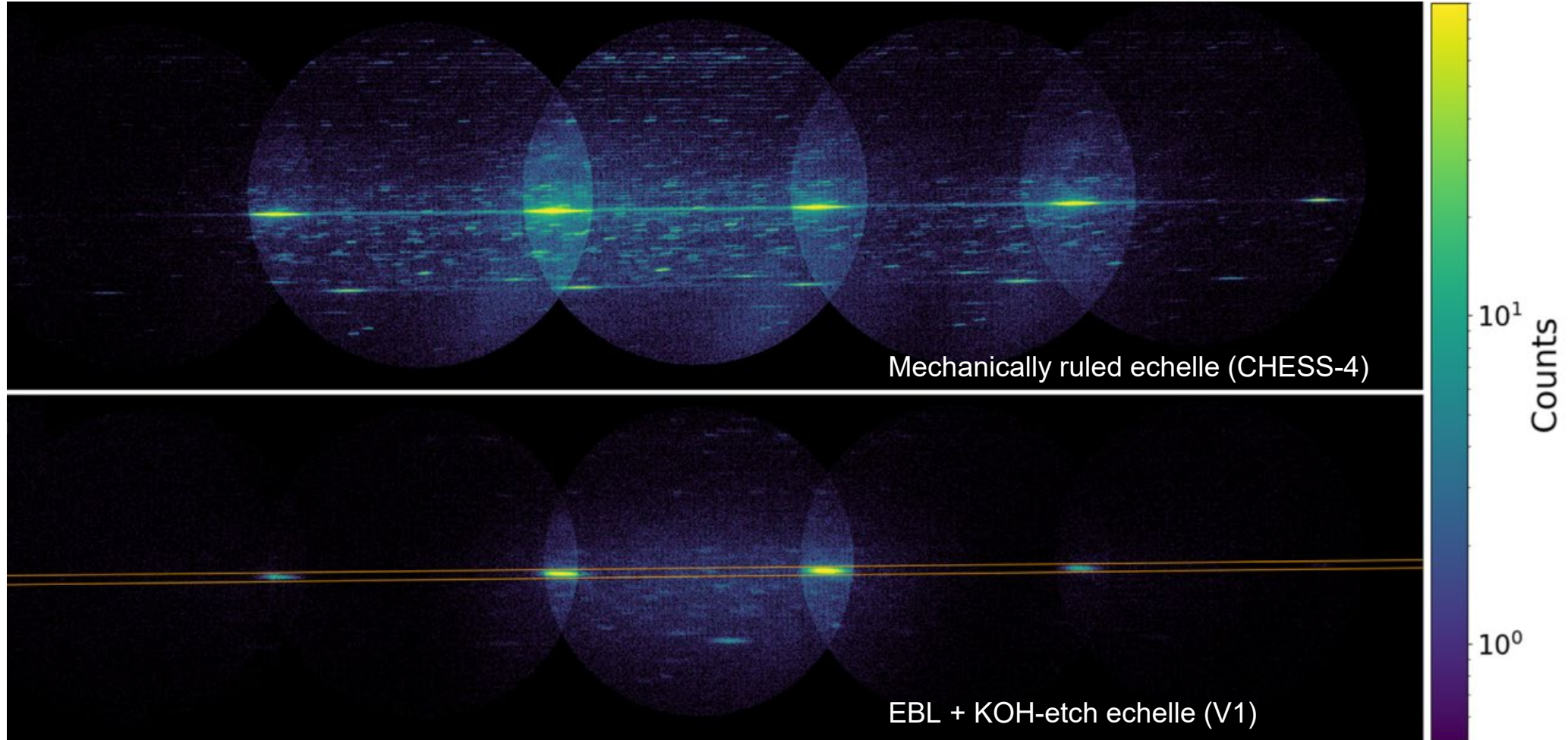
- Large format grating areas viable



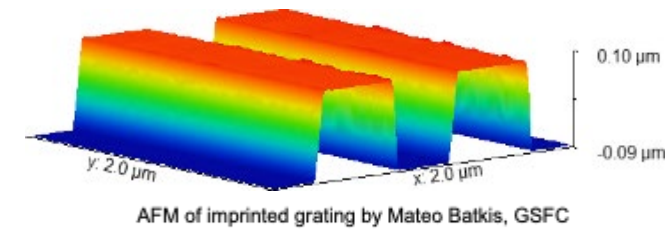
Kruczek+ 2022

Performance of EBL/KOH gratings

- Improved stray light control in an integrated spectrograph system



Limitations & Challenges



- Large write areas possible, but time consuming
- Paths forward: Nano-imprinting, grating arrays

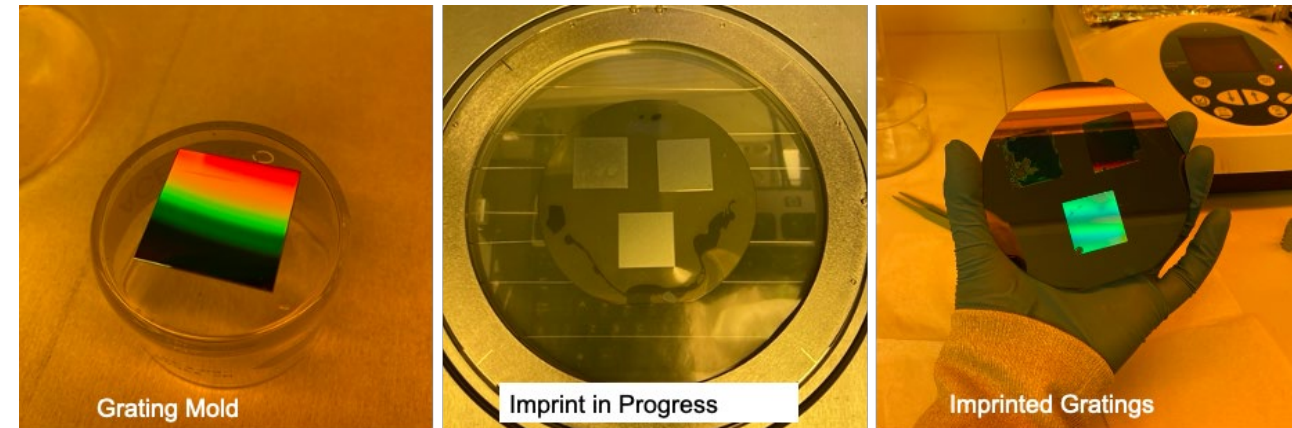
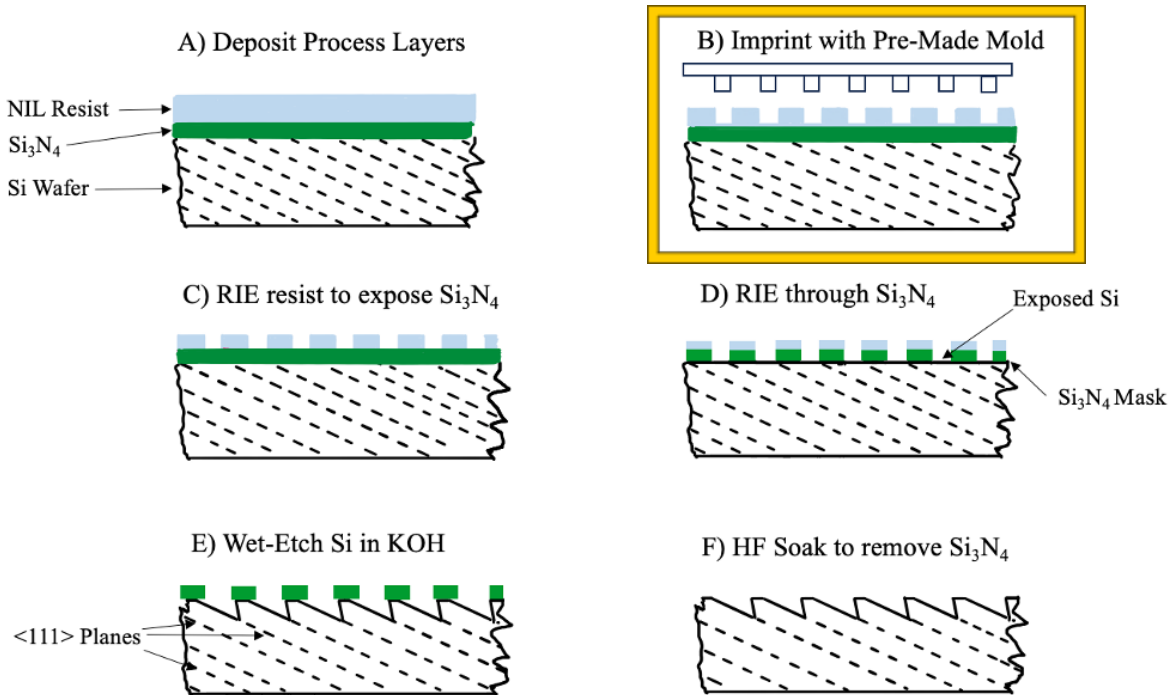
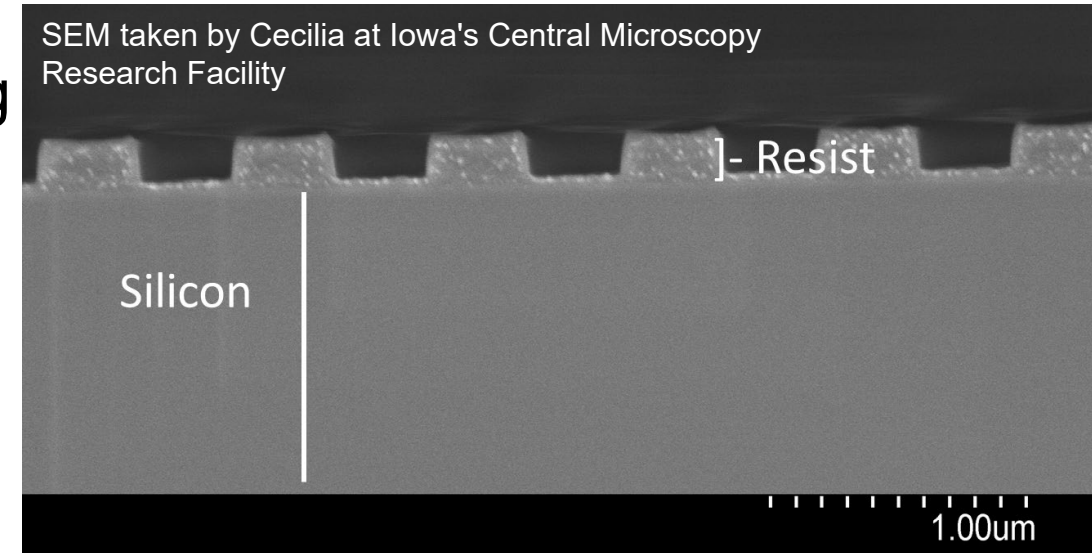
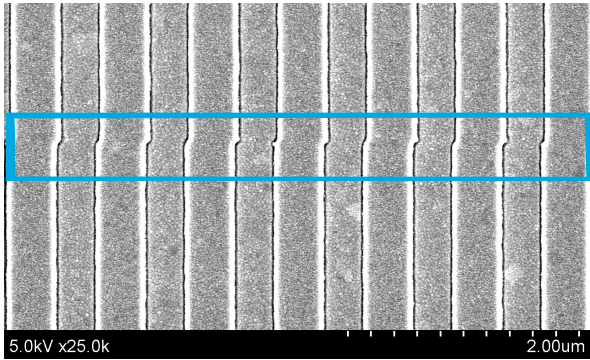


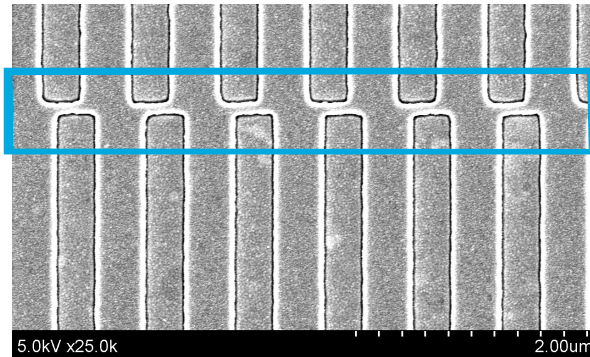
Figure inspired by Chang et al. 2003

Limitations & Challenges

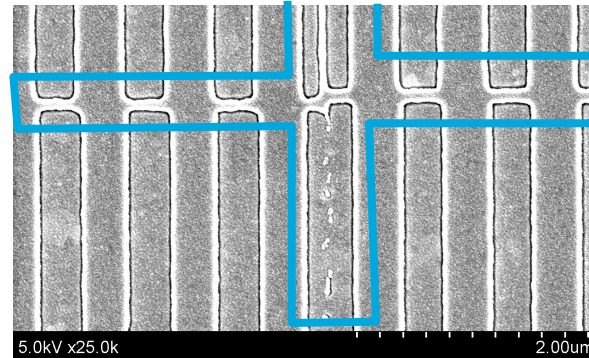
- Spectral "ghosts": Errors in write field pattern placement & correction



Can see field boundaries interferometrically

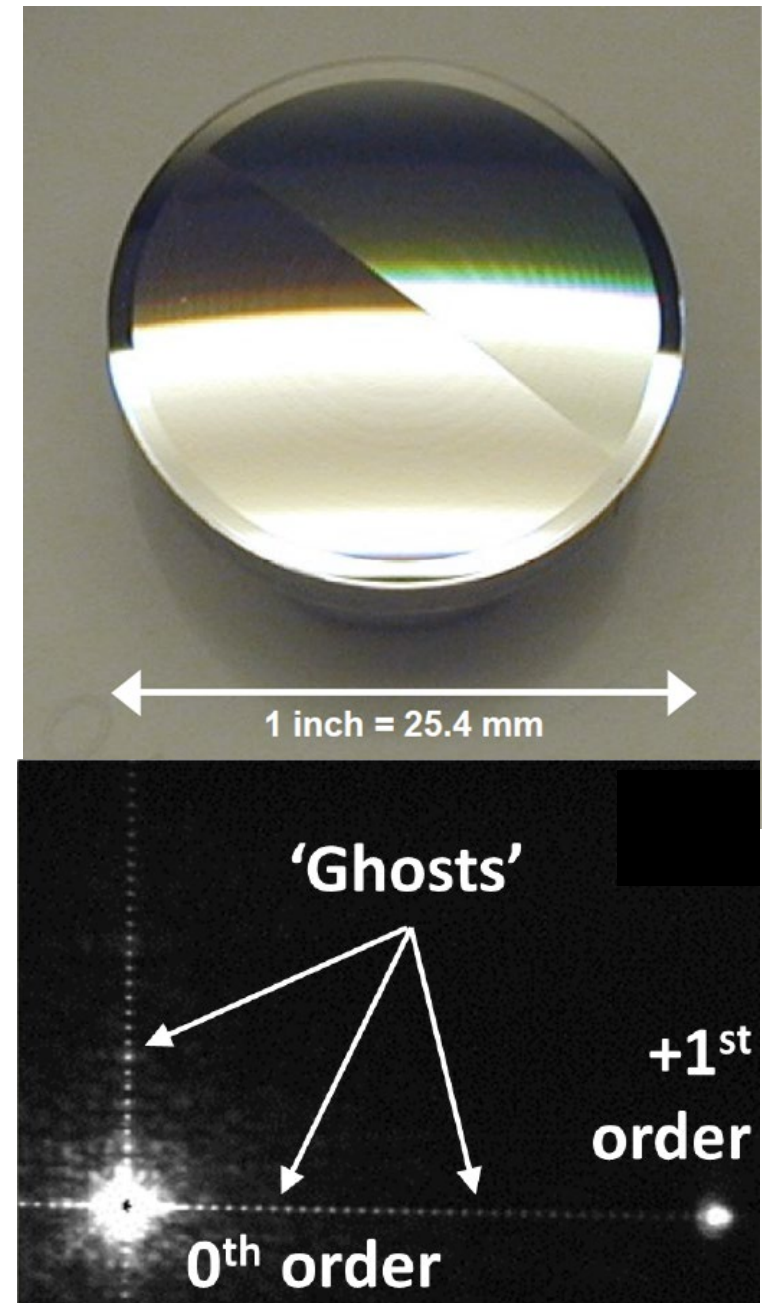


SEM images of stitch error



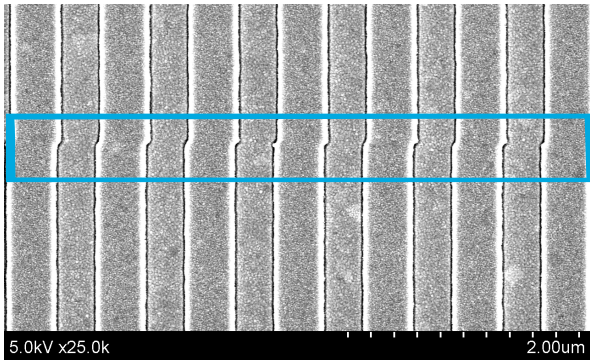
Different stitch error across the same substrate

Spherical CRISM Grating: significant "ghosting"

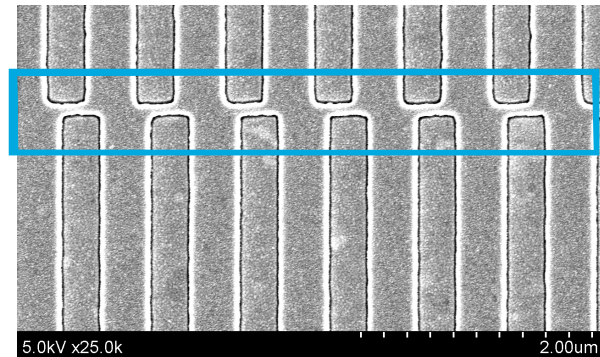


Limitations & Challenges

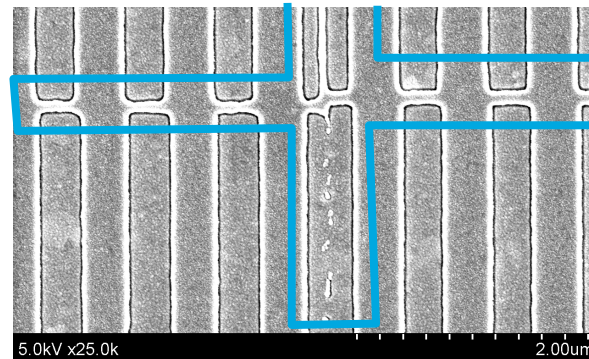
- Spectral "ghosts": Errors in write field pattern placement & correction



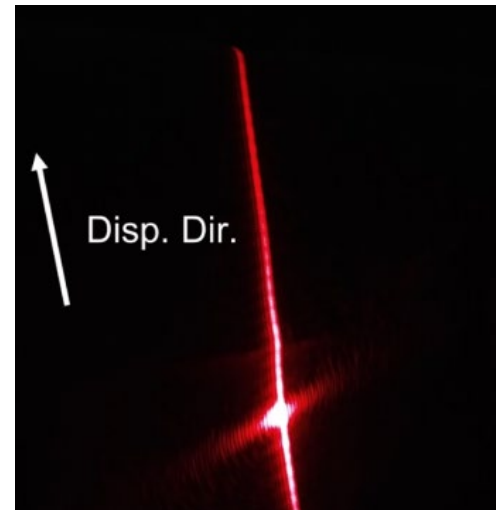
Can see field boundaries interferometrically



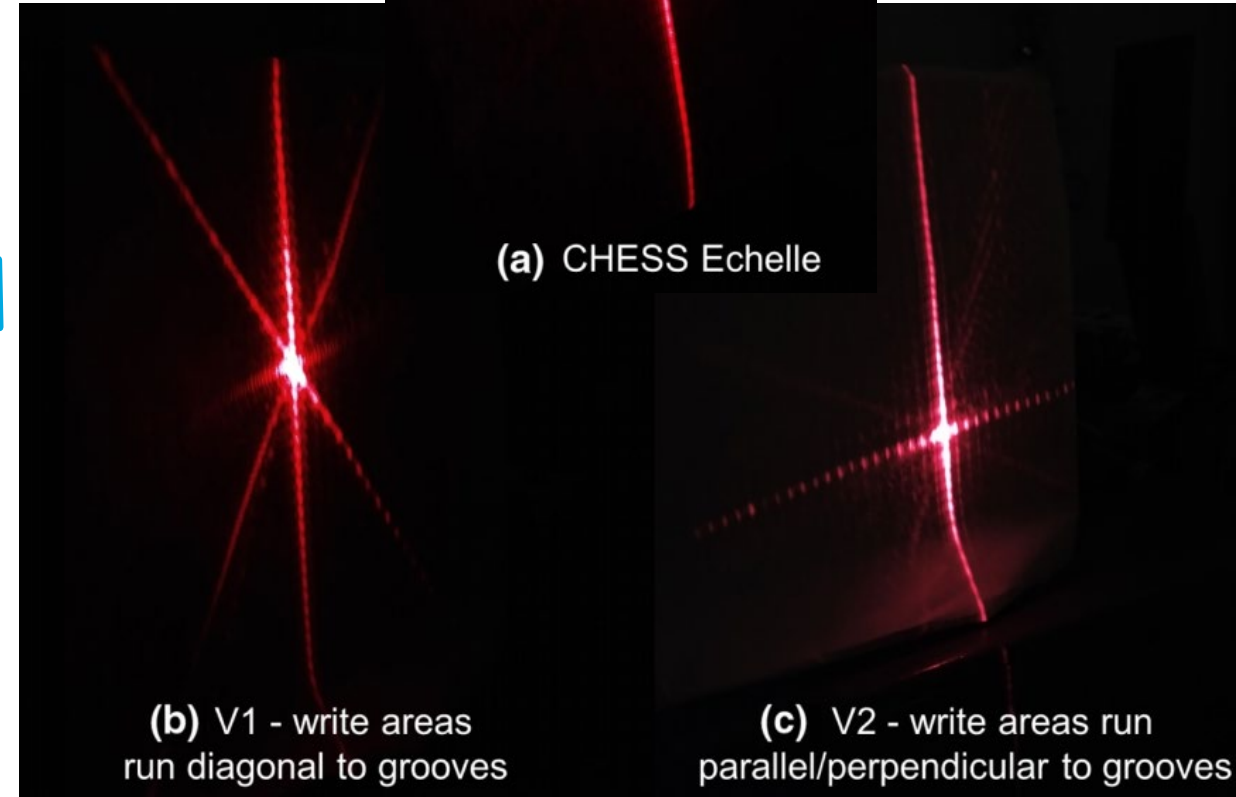
SEM images of stitch error



Different stitch error across the same substrate

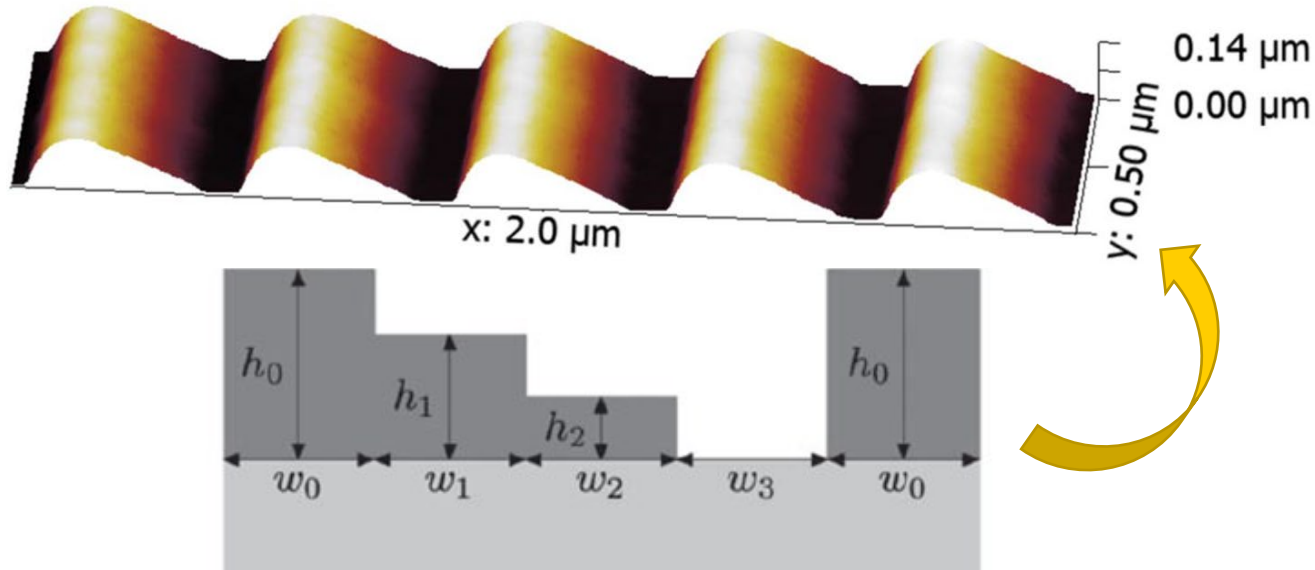


Kruczek+ 2022



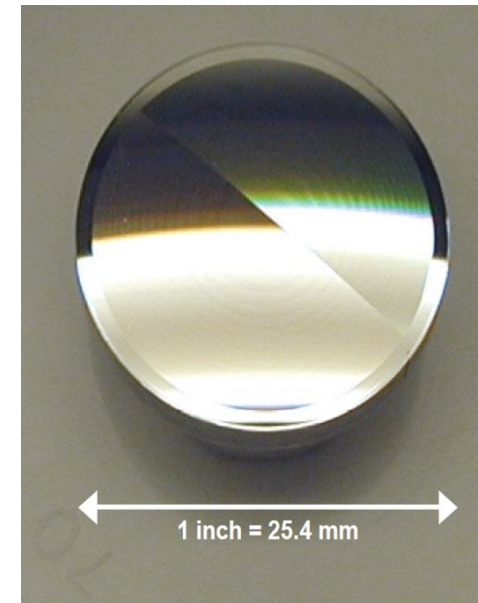
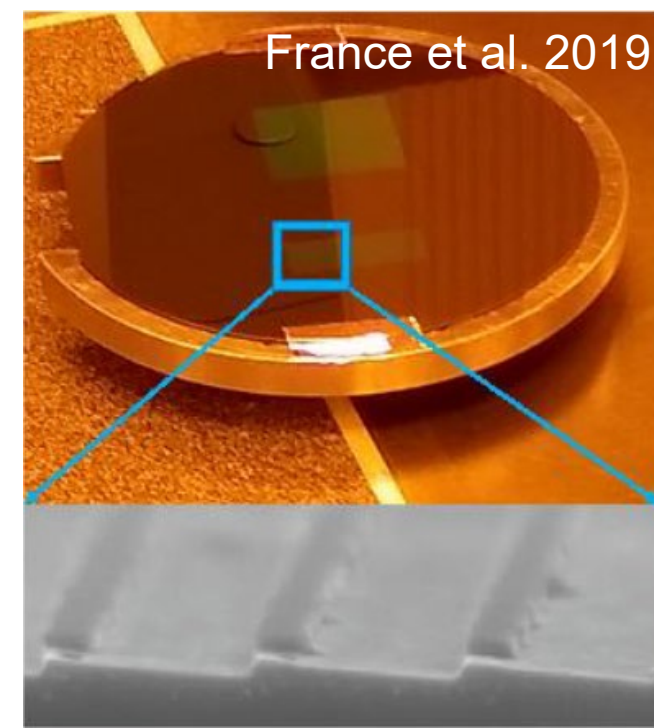
Customizable Gratings for Space UV Spectroscopy

- “Customizable” = control over groove period, pattern, blaze angle, substrate curvature, size...
- Leads to innovative instrument concepts



Direct Write Blazing via TASTE
(McCoy et al. 2020, McCurdy et al. 2020)

ESCAPE
grating: radially-
ruled grooves
with a “curve”
(Grisé: 11821-
28, Kruczek:
11821-12)

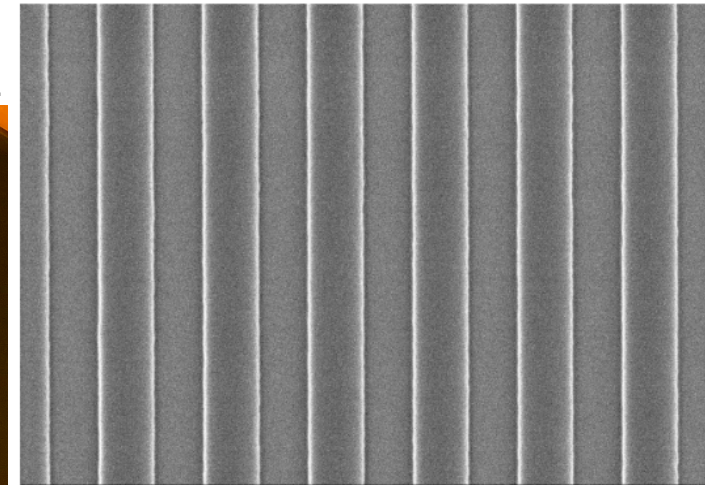
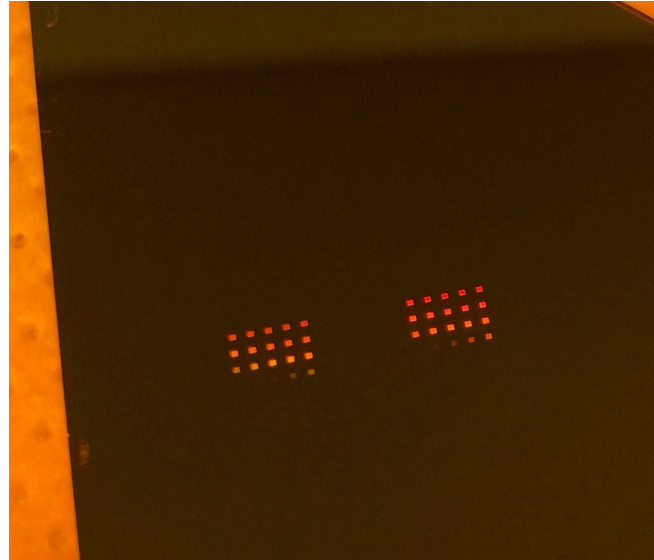


CRISM Spherical
Grating with two
blaze angles,
(Wilson et al. 2003)

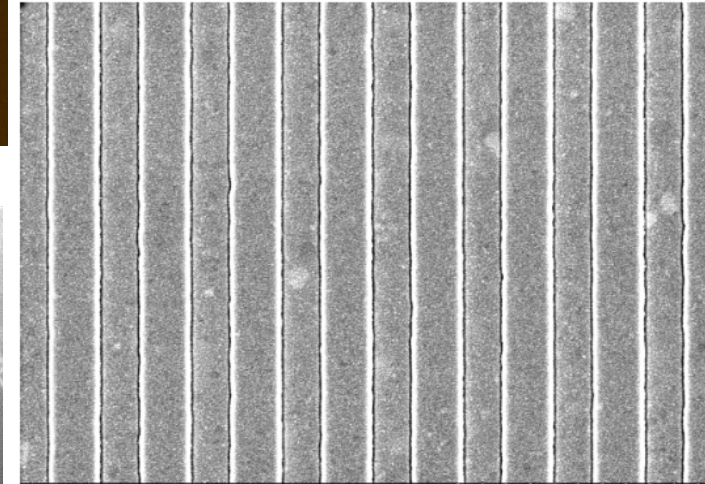
Summary

- UV Gratings for future UV spectrograph missions must be improved to achieve necessary gains in SNR, sensitivity
- EBL+KOH offers a promising path forward
- Promising results: High peak groove efficiencies demonstrated, low stray light backgrounds in spectrographs, large format ruling on the way
- Challenges ahead: Continuing to increase grating size, "ghosting" patterns
- Looking ahead: Improving EBL writing for customization of patterning (VLS, curved substrates, aberration correction, ...), TASTE, grating arrays, ...

500 micron write fields dose test.

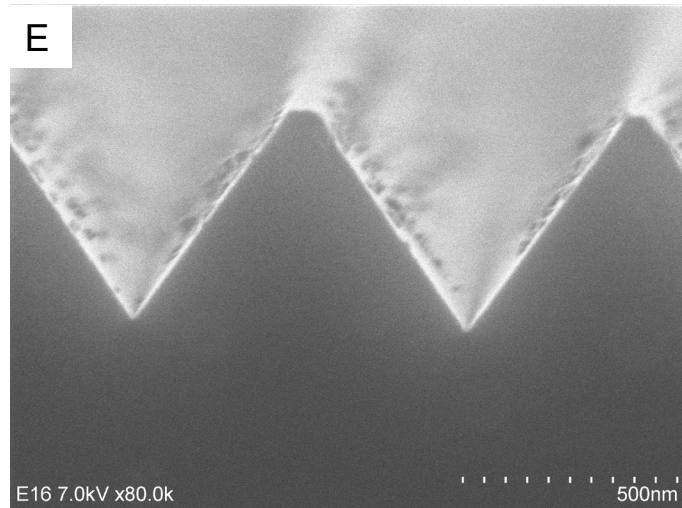


5.0kV 9.2mm x25.0k 2.00um



5.0kV x22.0k 2.00um

Top shows 3-nm layer of Iridium coating for SEM imaging, while the bottom shows 30-nm Au layer for UV testing.



SEM of KOH-etch blaze profile (~54 degree blaze angle)